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(71) Applicant (for all designated States except US): **THE LUBRIZOL CORPORATION** [US/US]; 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **LAWATE, Saurabh, S.** [US/US]; 10060 Stonehollow Road, Concord, Ohio 44060 (US). **SILVERSTEIN, Robert** [US/US]; 4 Park Place, Great Neck, New Jersey 11024 (US).

(74) Agent: **LAFERTY, SAMUEL B.; ESPOSITO, MICHAEL F.; GILBERT,, David M.**; The Lubrizol Corporation, Patent Dept./Mail Drop 022B, 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US).

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(54) Title: GEL COMPOSITION FOR OPTICAL FIBER CABLE

(57) Abstract: A gel composition from a synthetic lubricating oil, fumed silica, an antioxidant and a coupling agent for the fumed silica is described.

WO 2004/072214 A1

**Title: GEL COMPOSITION FOR OPTICAL FIBER CABLE**

5

**FIELD OF INVENTION**

Composition of optical fiber gels having compatibility with polymeric sheathings commonly used in optical fiber cables. The gels in filled cables minimize the intrusion of water and other harmful compounds into filled information transmission cables such as optical fiber cables. The gels along with the cable sheath protect the internal wires, fibers etc. from stresses applied to the cables sheath during manufacturing, installation and use.

**BACKGROUND OF THE INVENTION**

The optical fiber cable industry manufactures optical fiber cables by encasing the optical fibers in a polymeric sheathing. A jelly is placed between the polymeric sheathing and the optical fiber. The purpose of this jelly is to provide water resistance and as a buffer to bending stresses and strains.

Typical sheathing materials are polymeric in nature with polypropylene (PP) and polybutylterephthalate (PBT) being the most commonly used sheathing materials.

The jelly is usually a non-Newtonian oil. The non-Newtonian nature allows the jelly to thin out during processing and set after the processing shear forces are removed. Critical parameters that impart the necessary performance are viscosity at various shear rates and the yield stress. Typically the jelly is made using oil and an inorganic or organic thickener. Inorganic thickeners used range from organic clays to silica. These thickeners are suspended in a hydrophobic oil such as a mineral oil or synthetic oil. Additionally, stabilizers may be incorporated to ensure oxidative stability of the mixture.

The oil chosen has a profound influence on the compatibility of the jelly with the sheathing material. Typically, mineral oil based jellies are compatible with PBT sheathing whereas synthetic hydrocarbon oil based jellies are compatible with PP sheathing materials. Synthetic jellies are more expensive than mineral oil based jellies and there is a need for cheaper jellies that will be

compatible with PP sheathings. Moreover, there is a need for a cheaper jelly that would be compatible with both PP and PBT sheathings i.e. a 'universal product'.

5 Very few examples exist in prior art which identify jelly compositions that are compatible with both sheathing materials. As an example US Patent 5672640 outlines the use of castor oil and a ricinoleate polyol with colloidal particles. US Patent 5672640 also highlights the critical problem that expensive components have to be used in order for the jelly to be compatible with PP sheathing materials. US Patent 5672640 clearly outlines the need for  
10 low cost cable filling compounds that are compatible with PP and provides a solution for the problem via the use of castor oil derivatives. Unfortunately, to be useful there is a need for high loading of silica in these formulations which adds cost.

US4701016 outlines the use of various mineral and synthetic base oils  
15 but the drawback is that it uses very high loadings of silica. This can add to cost very significantly.

US5905833 discusses the use of a jelly composition containing mineral oils and a thickening system. The thickening system contains silica's and a polymer. High molecular weight polymers are used. The primary drawback of  
20 this is that the polymer itself is expensive and requires very long processing times in order to solubilize it into the base oil used. It is thus desirable to eliminate the use of such polymers.

#### SUMMARY OF THE INVENTION

The present application solves the problem of non-compatibility with  
25 polypropylene of certain filling compositions widely used in the industry without sacrificing desired performance or increasing cost. The use of a blend of polydecene and polybutene in ratios as outlined in the preferred embodiments of the present invention enables that the jelly is compatible with PP and PBT without sacrificing low temperature performance. Also disclosed  
30 are optimized compositions for gels for fiber optic cables derived from oil, colloidal silica filler, an optional high molecular weight polymer and optional functional additives. Gel compositions were developed based on the blend of

polydecene and polybutene basestocks and thickeners, which are compatible with the PP and PBT sheathings (e.g. they do not soften or deteriorate the sheath material).

Further, the preferred formulations have eliminated the use of very high loadings of silica as outlined in US4701016 or the use of high molecular weight polymers as in US5905833. This has been accomplished using a higher surface area silica gel and a polyglycol coupling agent. Use of this combination enables the use of lower loadings of silica without the use of polymeric thickeners.

Other objects and advantages of the present invention will become apparent to those skilled in the art from the following detailed description read in conjunction with the claims appended hereto

#### DETAILED DESCRIPTION

The gel composition generally comprises a base oil, a colloidal silica, and optionally a high molecular weight polymer, or coupling agents and antioxidants.

##### Base Oil

The base oil can be any of the American Petroleum Institute's (API) Group IV, or Group V basestock. Typical Group IV base oils include PAOs, while Group V basestocks include synthetic esters, vegetable oils, polyglycols, polydecenes, and polybutenes. Specific examples of this type of component include polyalpha olefin (PAO) and other synthetic oils such as polyglycol and polybutene. The amounts of base oil in the compositions of the present invention are generally from about 80 to about 97 weight percent and more desirably from about 86 to about 96 based on the weight of the composition.

##### Colloidal Particulate

Colloidal hydrophobic and hydrophilic silica used individually or in combination. In some embodiments the hydrophilic silica is preferred. In some embodiments the hydrophobic silica is limited to being less than 0.1 weight percent based on the weight of the composition. The colloidal particulate can be hydrophobic and or hydrophilic fumed silica or other particles such as iron and other inorganic particulate materials. Specific

examples of this type of component include Aerosil and Cabosil silicas from DeGussa and Cabot corporations. The amounts of colloidal particulate in the compositions of the present invention are desirably from about 1 to 50 weight percent, more desirably from about 2 to 10 weight percent, and preferably from about 2 to about 5 weight percent based on the weight of the formulation.

The colloidal particulate provides a particular type of viscosity modification to the mixture causing the resultant gel to exhibit non-Newtonian behavior. When sufficient colloidal material is present, the surfaces of adjacent particulate materials can hydrogen bond to adjacent particles forming a network that is resistant to stress. This provides thixotropic behavior, high yield stress values, and bleed resistance (anti-drip). Above a certain stress value these hydrogen bonds are broken and the gel deforms without memory of its previous shape and the hydrogen bonds between adjacent particles reform to re-establish a rigid network. Such behavior is generally not available from high molecular weight soluble polymers.

#### Coupling Agent(s)

Coupling agents are optional and function to couple the particulate material into a more continuous network building viscosity or modulus without adding more particulate material. Coupling agents generally are capable of hydrogen bonding with hydroxyl groups on the colloidal particulate material. Coupling agents with hydroxyl groups are preferred (e.g. bifunctional and polyfunctional alcohols). They can be monomeric, oligomeric, or polymeric. Specific examples of this type of component include polyglycols (including but not limited to poly (alkylene oxide) and other polyols.

The amounts of coupling agents are generally up to 2 or 5 weight percent, more desirably from about 0.1 to about 2, and preferably from about 0.1 to about 0.9, and preferably from about 0.1 to about 0.6 weight percent.

#### Other Optional Additives

Other additives include antioxidants, hydrogen absorbing agents, surfactants, antiwear (including EP) agents, and antifoam agents. These may or may not be necessary depending upon the particular application of the gel and transmission cable. Many oils can slowly oxidize over time. The antioxidants

help increase oxidative induction time, ameliorate changes in the molecular weight of the oil and high molecular weight polymer, and reduce adverse color changes in the gel. Without them, depending on the resistance of the oil and polymer to oxidation, the oil and polymer might degrade into lower molecular weight components (possibly volatile), or higher molecular weight components (possibly sludge), and or a combination of lower and higher molecular weights (generating both more volatility and more sludge). The antifoam agents incorporated in the formulation can help reduce the inclusion of gas bubbles in the gel and reduce foaming above the surface of the gel.

The amounts of optional functional components in the compositions of the present invention are generally up to 5 weight percent, more desirably from about 0.1 to about 5 and preferably from about 0.1 to about 1 weight percent. The particular relationship between the amounts and types of the above components is by weight.

**Recipe I: Synthetic Oil Based Recipe**

Ingredient	Manufacturer	Quantity used for a 10 gallon batch (lbs)	Wt. Percent
PAO-40 Mobil SHF4 (base oil)	Mobil	4.567	45.67%
Polybutene H100	Chemcentral	2.855	28.55%
Polybutene H300	Ciba	2.093	20.93%
Irganox L135 (antioxidant)	Ciba Gigy	0.045	0.45%
Aerosil 300VS – Hydrophilic Silica	Degussa	0.400	4.00%
Polyglycol 2000 (coupling agent)	Dow Chemical	0.041	0.41%
Total		10.00	100%

The gels can be prepared by any method that disperses the silica and the other components uniformly in the oils. Such procedures are disclosed in the prior art. A preferred procedure is listed below.

**Procedure**

- Mix the H100, H300 and SHF4 in a beaker on a hot plate at about 70-80C using a spatula or other mixing technique.

- Transfer the mixed base oils to the 2 gallon unit and maintain at 75F (Stirring: 25 rpm anchor; 6800 rpm disperser; 5500 rpm emulsifier). An example of such a unit may be the Ross Versamix unit available from Ross, Happague, NY.

5       • Mix for 5 minutes and turn off disperser and emulsifier (to prevent possible shearing of polybutene). Turn on Mokon heating unit to 110°F. Batch temp is about 110 F. Stir for 15 minutes. (Stirring; Anchor 50 rpm; disperse and emulsifier 0 rpm).

- Pull a sample out for ASTMD445 viscosity at 100° C

10       • Sample looks white and frothy at this stage with a lot of air entrapped

- Put Irganox L135 and Aerosil 300 VS and mix for 5 mins (Stirring: 22 rpm anchor; 4050 rpm disperser; 4500 rpm emulsifier). Temp is about 110F due to mixing.

15       • Stop stirring, lift mixer and clean mixer area and lid to remove solid silica.

- Continue mixing for 10 mins (80 rpm anchor; 6450 rpm disperser; 0 rpm emulsifier)

- Add polyglycol and mix 30 min ((80 rpm anchor; 6450 rpm disperser; 4500 rpm emulsifier).

20       • Turn off stirring except anchor at 40 rpm. Pull vacuum till batch is clear. If necessary the mixture may be heated to >100°F when the vacuum is applied. This can provide a thinner material that can degas more effectively.

While the invention has been explained in relation to its preferred embodiments, it is to be understood that various modifications thereof will  
25       become apparent to those skilled in the art upon reading the specification. Therefore, it is to be understood that the invention disclosed herein is intended to cover such modifications as fall within the scope of the appended claims.

Each of the documents referred to above is incorporated herein by reference. Except in the Examples, or where otherwise explicitly indicated, all  
30       numerical quantities in this description specifying amounts of materials, reaction conditions, molecular weights, number of carbon atoms, and the like, are to

be understood as modified by the word "about." Unless otherwise indicated, each chemical or composition referred to herein should be interpreted as being a commercial grade material which may contain the isomers, by-products, derivatives, and other such materials which are normally understood to be present in the commercial grade. However, the amount of each chemical component is presented exclusive of any solvent or diluent oil, which may be customarily present in the commercial material, unless otherwise indicated. It is to be understood that the upper and lower amount, range, and ratio limits set forth herein may be independently combined. While ranges are given for most of the elements of the invention independent of the ranges for other elements, it is anticipated that in more preferred embodiments of the invention, the elements of the invention are to be combined with the various (assorted) desired or preferred ranges for each element of the invention in various combinations.

As used herein, the expression "consisting essentially of" permits the inclusion of substances that do not materially affect the basic and novel characteristics of the composition under consideration. Comprising means having at least the listed elements and optionally a variety of other unnamed elements that might affect the basic characteristics of the composition.



3212-01-WO

What is claimed is

- 5       1. A non-newtonian jelly composition suitable for use in optical fiber cable manufacture comprising:
  - a. a synthetic oil of lubricating viscosity,
  - b. a fumed silica,
  - c. an antioxidant, and
  - 10       d. a coupling agent.
2. The composition of claim 1 wherein the fumed silica is hydrophobic or hydrophilic.
3. The composition of claim 1 wherein the fumed silica is hydrophilic and the composition is substantially free of hydrophobic silica,
- 15       4. The composition of claim 1 wherein the fumed silica has an average primary particle size ranging from 5-30 nm.
5. The composition of claim 1 wherein the fumed silica has an average specific BET surface of 150-400 m<sup>2</sup>/g.
6. The lubricating composition of claim 1 wherein the fumed silica is present from 1 to 10% by weight.
- 20       7. The composition of claim 1 wherein the fumed silica is present from 2-6% by weight.
8. The composition of claim 1 wherein the preferred synthetic oil is chosen from a group of polydecenes, polyisoprenes, polyisobutenes, polybutenes
- 25       9. The composition of claim 1 wherein the synthetic oil comprises at least 85% of the composition by weight.
10. The composition of claim 1 wherein the synthetic oil is a mixture of at least two oils chosen from the group of polydecenes and polybutenes.
11. The composition of claim 1 wherein the coupling agent is a chemical
- 30       with at least one hydrogen bonding site.
12. The composition of claim 1 wherein the coupling agent is a polyglycol.

13. The composition of claim 12 wherein the polyglycol has a number average MW of at least 1000.

14. The composition on claim 1 comprising an antioxidant

5 15. The lubricant composition of claim 1 wherein the antioxidant is a hindered phenol antioxidant.

16. The lubricant composition of claim 16 wherein the antioxidant is present from 0.1 to 2% by weight.

10 17. The composition of claim 1 wherein the synthetic oils are a mixture of polydecene and polybutene, the silica is hydrophilic and the coupling agent is a polyglycol.

18. The composition of claim 19 where the polybutene has a number average MW of less than 2000.

19. The composition of claim 19 wherein the preferred amount of polybutene used is at least 40% by weight of the total formulation.

15 20. The composition of claim 19 wherein the polyglycol has a number average molecular weight of 2000.

21. The composition of claim 19 where the preferred composition comprises a mixture of polydecene and polybutene in a ratio of 1:1

20 22. The composition of claim 19 wherein the preferred composition comprises a hydrophilic silica with a surface area of at least 150 m<sup>2</sup>/g

23. The composition of claim 19 wherein the hydrophilic silica has a surface area of greater than 250 m<sup>2</sup>/g

24. The composition of claim 19 wherein the mixture of synthetic oils comprises polydecenes and polybutenes

25 25. The composition of claim 19 wherein the coupling agent comprises at least 0.3% of the formulation

26. The composition of claim 19 which optionally comprises an antioxidant.

27. The composition of claim 28 wherein the antioxidant is a hindered phenol

30 28. The composition of claim 28 wherein the antioxidant is an amine

29. The composition of claim 28 wherein the antioxidant is selected from a group of antioxidants comprising phenolic and amine antioxidants.

30. The composition of claim 1 wherein the preferred composition comprises a blend of synthetic oils and a blend of fumed silicas.

31. The composition of claim 30 wherein the blend of silicas comprises hydrophobic and hydrophilic silicas.

5 32. The composition of claim 1 optionally comprising a high molecular weight polymer.

33. The composition of claim 1 wherein the high molecular weight polymer is a styrene butadiene polymer.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/003572

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C10M169/00 G02B6/44

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C10M G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 037 566 A (RANDISI SALVATORE A) 6 August 1991 (1991-08-06)  column 3, line 59 - column 4, line 7; claim 1; example 1	1,2, 4-14,16, 30,32
X	US 4 705 571 A (LANGE GERHARD ET AL) 10 November 1987 (1987-11-10)  claims; examples	1,2,6-9, 11, 14-16, 30,31
P,X	WO 03/085036 A (LUBRIZOL CORP ; TOLFA JOHN C (US); LAWATE SAURABH S (US); SILVERSTEIN) 16 October 2003 (2003-10-16) page 7, lines 10-20  ----- -/--	1,2,4-9, 11-16, 30-33

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

13 July 2004

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21/07/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Kazemi, P

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2004/003572

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 050 959 A (RANDISI SAL) 24 September 1991 (1991-09-24) claims 1-3; examples	1,2, 4-12,14
A	US 5 276 757 A (PATTERSON JERRY J ET AL) 4 January 1994 (1994-01-04)  claims; table 1	1,2, 4-10, 14-16
A	WO 01/85879 A (BACARELLA ROBERT) 15 November 2001 (2001-11-15) the whole document	1-17, 30-33
A	US 4 701 016 A (OVERTON BOB J ET AL) 20 October 1987 (1987-10-20) cited in the application the whole document	1-17, 30-33
A	EP 0 889 343 A (LUCENT TECHNOLOGIES INC) 7 January 1999 (1999-01-07)  claims; table 1	1-10, 14-17, 30-33
A	DE 36 22 211 A (SIEMENS AG) 8 January 1987 (1987-01-08) the whole document	1-16,30, 31

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.2

Claims Nos.: 18-29

The dependent claims 18-29 (referring to claims 19 and 28) are unclear, the reference being clearly in error without knowing what the correct reference should be. Since the claims lack a counterpart in the description, i.e. are not supported by the description under Article 6 PCT, it is not possible to carry out a meaningful search for the subject-matter of these claims.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2004/003572

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.: 18-29  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2004/003572

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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